



I.FU

Docket No.: 80374(47762)  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Hideaki Kaji et al.

Application No.: 10/567,444

Confirmation No.: 5048

Filed: February 7, 2006

Art Unit: N/A

For: PROCESS FOR PRODUCING AQUEOUS  
PIGMENT DISPERSIONS FOR INK-JET  
RECORDING

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the Assignee's address. Please remove "Chuo-ku" from the Assignee's address. Also, in the name of the Assignee, please decapitalize "And" in the Dainippon Ink and Chemicals, Inc. A copy of the Notice of Recordation of Assignment Document is attached for your reference.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: March 19, 2008

Respectfully submitted,

By James E. Armstrong  
James E. Armstrong, IV  
Registration No.: 42,260  
EDWARDS ANGELL PALMER & DODGE  
LLP  
P.O. Box 55874  
Boston, Massachusetts 02205  
(202) 478-7375  
Attorneys/Agents For Applicant



OCT 19 2008  
MAR 19 2008  
PATENT & TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/567,444	02/07/2006	1755	950	060123	21	1

CONFIRMATION NO. 5048

21874

EDWARDS ANGELL PALMER & DODGE LLP  
P.O. BOX 55874  
BOSTON, MA 02205

FILING RECEIPT



OC000000028701165\*

Date Mailed: 03/10/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Hideaki Kaji, Ageo-shi, JAPAN;  
Kazuhiro Kaneko, Osaka, JAPAN;  
Ritsuko Small, Blacksburg, VA;  
Hiromichi Arakawa, Tokyo, JAPAN;  
Shinichi Okada, Kitaadachi-gun, JAPAN;  
Hiroshi Harada, Ageo-shi, JAPAN;  
Sadahiro Inoue, Toda-shi, JAPAN;

and

Assignment For Published Patent Application

Dainippon Ink(And Chemicals, Inc., Chuo-ku, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 21874

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP2003/010219 08/11/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/06/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/567,444**

Projected Publication Date: 06/12/2008

Non-Publication Request: No

Early Publication Request: No

**Title**

Process For Producing Aqueous Pigment Dispersions For Ink-Jet Recording

**Preliminary Class**

106

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



ASSIGNOR:

INOUE, SADAHIRO

DOC DATE: 09/07/2005

ASSIGNEE:

DAINIPPON INK AND CHEMICALS, INC.  
35-58, SAKASHITA 3-CHOME, ITABASHI-  
KU  
TOKYO, JAPAN

SERIAL NUMBER: 10567444

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PROCESS FOR PRODUCING AQUEOUS PIGMENT DISPERSIONS FOR INK-JET  
RECORDING

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

Ad 981-40

103176969

2006

Attorney Docket No. 060123

02-13-2006

U.S. PATENT AND TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
and Trademark Office

RECORI



103176969

Commissioner for Patents: Please record the attached original document

copy thereof.

Submission type:  New  
 Resubmission (Non-Recordation)  
 Document ID #: \_\_\_\_\_  
 Correction of PTO Error  
 Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
 Corrective Document  
 Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance type:  Assignment  Security Agreement  
 License  Change of name  
 Merger  Other

Conveying Party (ies): (*Last, First, Middle Initial*) Execution Date

First party	Name:	KAJI, Hideaki	September 7, 2005
Second party	Name:	KANEKO, Kazuhiro	September 7, 2005
Third party	Name:	SMALL, Ritsuko	September 18, 2005
Fourth party	Name:	ARAKAWA, Hiromichi	September 7, 2005
Fifth party	Name:	OKADA, Shinichi	September 7, 2005
Sixth party	Name:	HARADA, Hiroshi	September 7, 2005
Seventh party	Name:	INOUE, Sadahiro	September 7, 2005

Additional name(s) of conveying party(ies) attached? Yes  No

Receiving Party (ies):

First party Name: **DAINIPPON INK AND CHEMICALS, INC.**  
Address: **35-58, Sakashita 3-chome, Itabashi-ku, Tokyo, Japan**

Additional name(s) & address(es) attached? Yes  No

Domestic Representative Name and Address

Armstrong, Kratz, Quintos, Hanson & Brooks, LLP  
Suite 1000, 1725 K Street  
Washington, D.C. 20006  
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

02/09/2006 GFEY1 00000107 10567444

05 FC:8021

For Office Use Only

40.00 OP

ANW/54

**Correspondent Name and Address**

Telephone: 202-659-2930

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K Street, N.W., Suite 1000  
 Washington, D.C. 20006

**Pages** Enter the total number of pages of the attached conveyance document: 1  
*(including any attachments, but not counting cover sheets)*

**Application Number(s) or Patent Number(s)** Additional numbers attached? Yes    No XX

A. Patent Application No.(s)

B. Patent No.(s)

If this document is being filed together with a new application, enter the date the application was signed by the first named executing inventor: September 7, 2005

*(Do not recite both application and patent numbers.)*

**Patent Cooperation Treaty (PCT)**Enter PCT application number only if

a U.S. Application Number has not been assigned

PCT PCT/JP03/10219

PCT \_\_\_\_\_

PCT \_\_\_\_\_

**Number of Properties** Enter the total number of properties involved: 1

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41) . . . . . \$40.00

XX Enclosed

\_\_\_\_ Authorized to be charged to Deposit Account No.: 01-2340

**Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald W. Hanson

Name of Person Signing

  
Signature

February 7, 2006

Date

27,133

Registration No.

Rev. 11/00

DWH:jaz